

01/07/02

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 CFR 1.53(b)

Attorney Docket No.

30014200-1022

First Named Inventor or Application Identifier

Thomas Pfohe

Express Mail Label No: EL834556797US

01/07/02
J100051100017

12/21/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 28
2. ☒ Drawing(s) (35USC 113) Total Pages 10
3. ☒ Declaration and Power of Attorney Total Pages 6

- a. ☐ Unexecuted(original or copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2) and 1.33(b).

- ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

5. ☒ Assignment Papers (cover sheet & documentation)
Sun Microsystems, Inc.
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Applicant is a Small Business Entity
12. ☐

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
				\$740.00
TOTAL CLAIMS	37	17	\$18.00	306.00
INDEPENDENT CLAIMS	11	8	84.00	672.00
	ANY MULTIPLE DEPENDENT CLAIMS?		280.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		TOTAL FEE	\$1,718.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

- ☒ A check in the amount of \$1,718.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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SIGNATURE

Christopher P. Rauch
Christopher P. Rauch (Reg. No. 46,034)

Date: December 21, 2001

01-07-02⁹

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: December 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231.

Applicant(s): Thomas Pfohe and Thorsten Laux

Attorney Docket No. 30014200-1022

Executed Declaration

Executed Assignment, PTO Form 1595 and \$40.00 recording fee

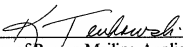
Utility Patent Application Transmittal Form (and one copy)

Specification (28 pages)

Drawings (10 sheets - Figs. 1-12)

\$1,718.00 filing fee (37 claims - 11 independent)

Return postcard



Signature of Person Mailing Application and Fees



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